

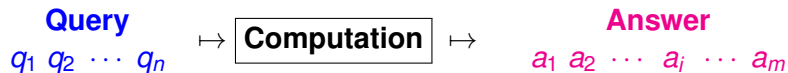
Towards Capturing Order-Independent P

Neil Immerman

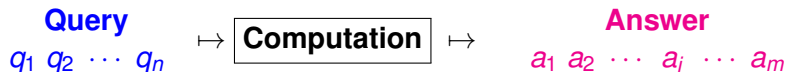
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Descriptive Complexity



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Restrict attention to the complexity of computing individual bits of the output, i.e., **decision problems**.

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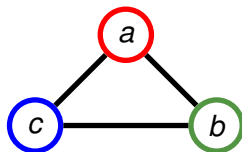
There is a **computable isomorphism** between these two approaches.

Think of the Input as a Finite Logical Structure

$$H = (\{a, b, c\}, \leq, E^H, R^H, G^H, B^H)$$

Colored
Graph

H



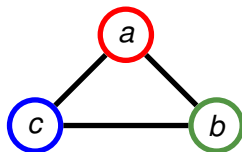
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$$E^H = \{(a, b), (b, a), (b, c), (c, b), (c, a), (a, c)\}$$

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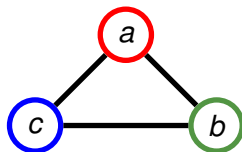
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Graph $R^H = \{a\}$

$$G^H = \{b\}$$

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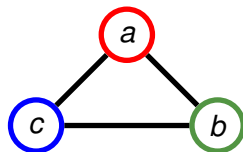
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First-Order Logic

input symbols: E, R, Y, B, \dots

variables: x, y, z, \dots

boolean connectives: \wedge, \vee, \neg

quantifiers: \forall, \exists

numeric symbols: $=, \leq, +, \times, \min, \max$

$$\alpha \equiv \forall x \exists y E(x, y)$$

$$\beta \equiv \forall xy (\neg E(x, x) \wedge (E(x, y) \rightarrow E(y, x)))$$

$$\gamma \equiv \forall x ((\forall y x \leq y) \rightarrow R(x))$$

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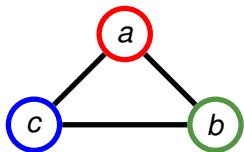
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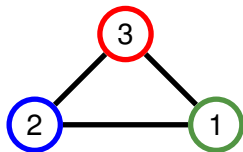
It is **easy** to test if input, H , satisfies α ($H \models \alpha$).

First-Order Logic

$H \quad a \leq b \leq c$



$G \quad 1 \leq 2 \leq 3$



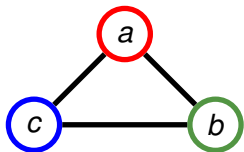
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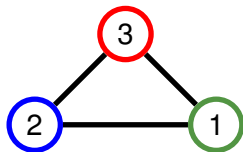
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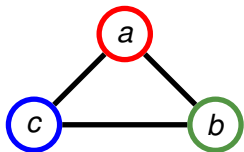
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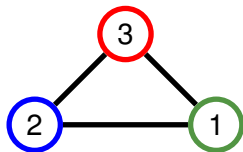
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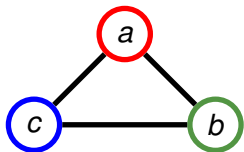
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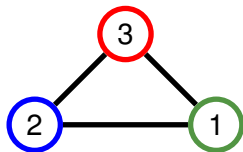
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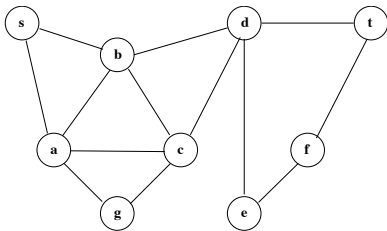
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α and β are **order independent**; γ is **order dependent**

Second-Order Logic: FO plus Relation Variables

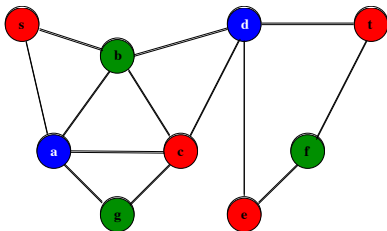
$$\Phi_{\text{3color}} \equiv \exists R^1 G^1 B^1 \forall x y ((R(x) \vee G(x) \vee B(x)) \wedge (E(x, y) \rightarrow (\neg(R(x) \wedge R(y)) \wedge \neg(G(x) \wedge G(y)) \wedge \neg(B(x) \wedge B(y)))))$$

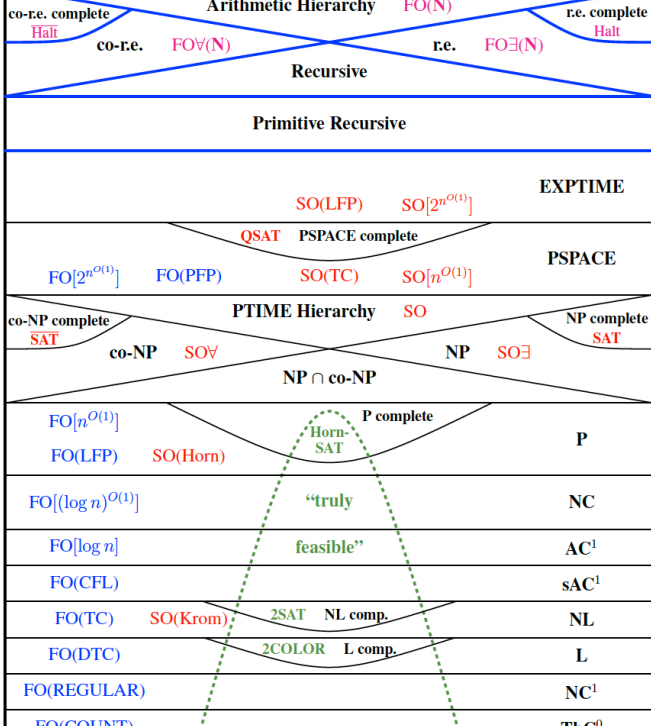


Second-Order Logic: FO plus Relation Variables

Fagin's Theorem: $NP = SO\exists$

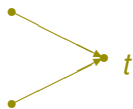
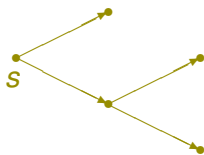
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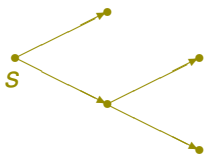
Inductive Definitions and Least Fixed Point

$$\text{REACH} = \{G, s, t \mid s \xrightarrow{*} t\}$$

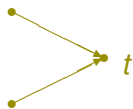


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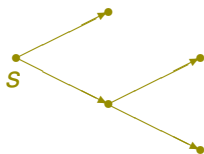
$$\text{REACH} \notin \text{FO}$$



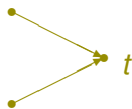
Inductive Definitions and Least Fixed Point

$$E^*(x, y) \stackrel{\text{def}}{=} x = y \vee E(x, y) \vee \exists z(E^*(x, z) \wedge E^*(z, y))$$

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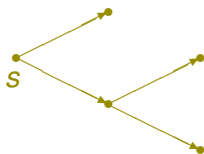


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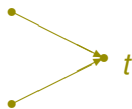
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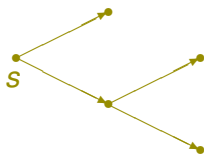
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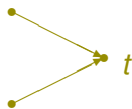
$$\varphi_{tc}^G : \text{binRel}(G) \rightarrow \text{binRel}(G)$$

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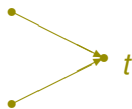
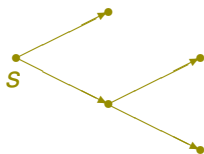
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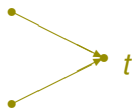
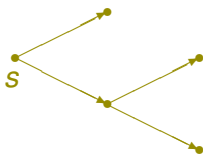
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$$G \in \text{REACH} \Leftrightarrow G \models (\text{LFP}_{\varphi_{tc}})(s, t) \quad E^* = (\text{LFP}_{\varphi_{tc}})$$

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LFP is a Polynomial Iteration Operator

Thm. $P = \text{FO}(\text{LFP}) = \text{FO}[n^{O(1)}]$

$\text{FO}[n^{O(1)}]$ means for graphs with n vertices, the formula φ_n expressing the property has $n^{O(1)}$ quantifiers, but only a **fixed number** of requantified **variables**, x_1, \dots, x_k , i.e., $\varphi_n \in \mathcal{L}^k$.

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Wanted: a language capturing Order-Independent P (**OIP**).

Want to Capture Order-Independent P (OIP)

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$$\text{FO(wo}\leq\text{)(LFP)} \subseteq \text{OIP}$$

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How do we prove $\text{EVEN} \notin \text{FO(wo}\leq\text{)}(\text{LFP})$?

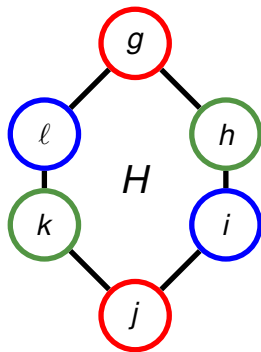
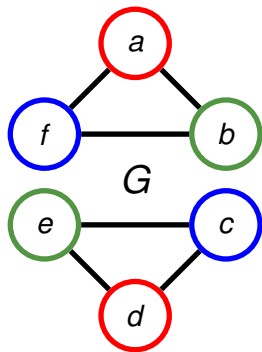
Ehrenfeucht-Fraïssé Game

$\mathcal{G}_m^k(G, H)$

m moves,

k pebbles,

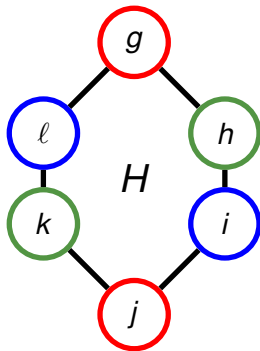
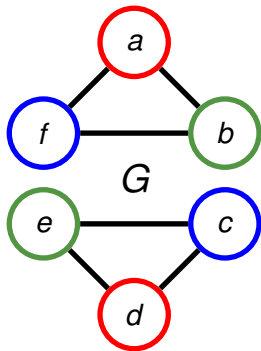
2 players



Ehrenfeucht-Fraïssé Game

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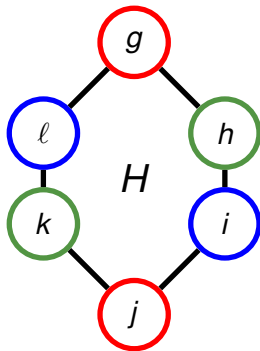
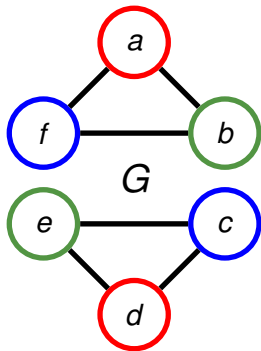
Samson: show a difference.



Ehrenfeucht-Fraïssé Game

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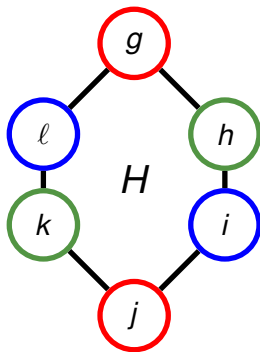
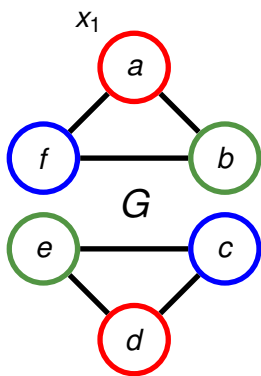
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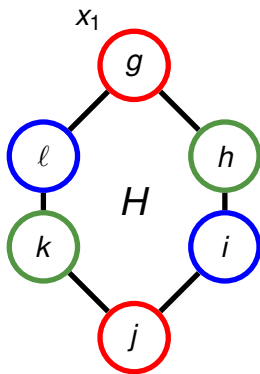
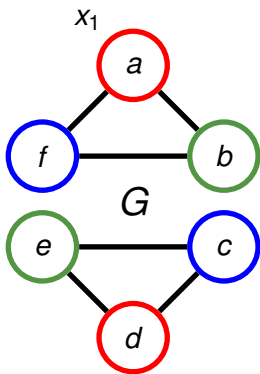
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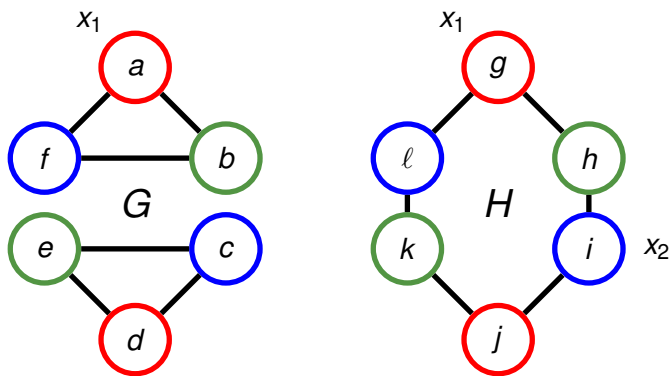
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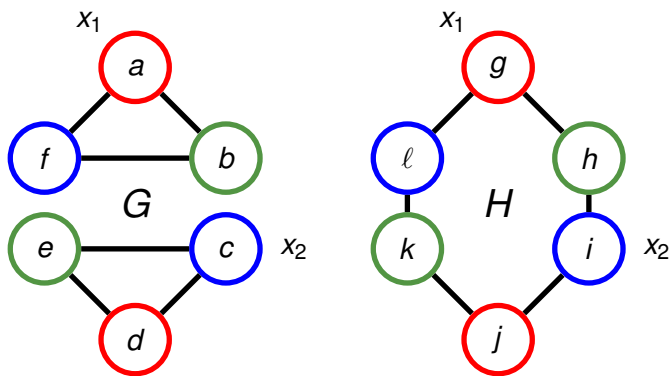
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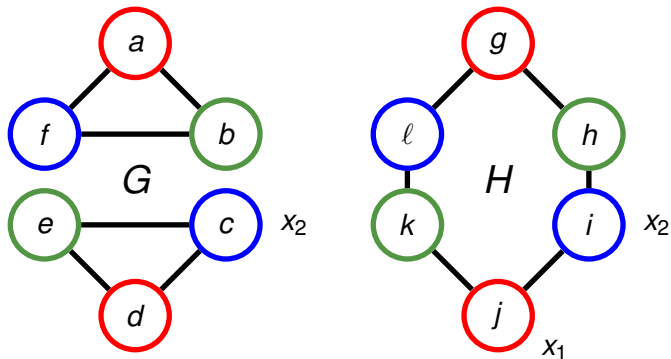
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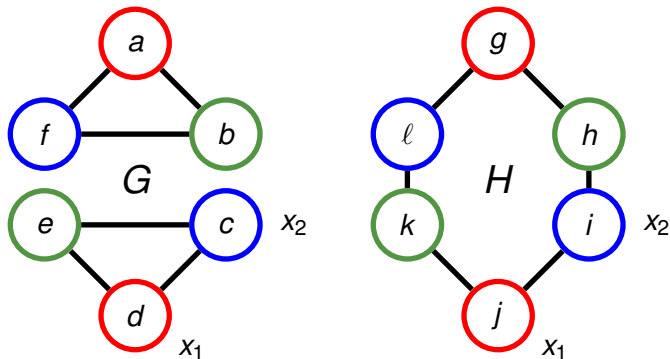
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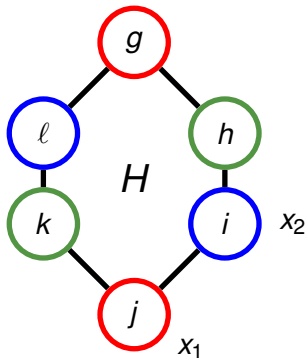
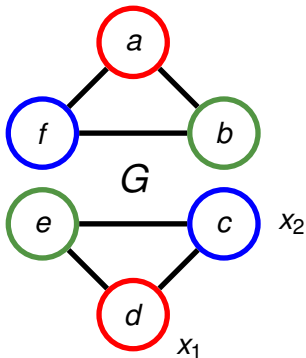


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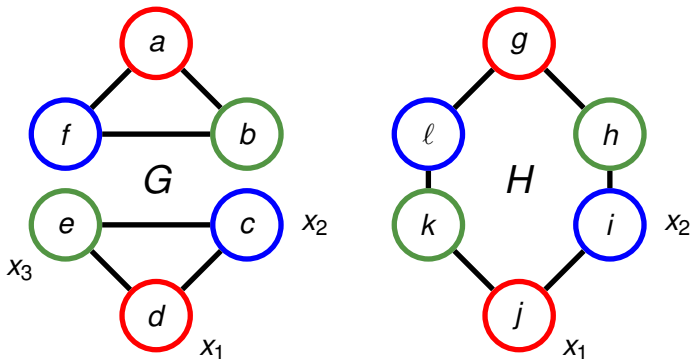


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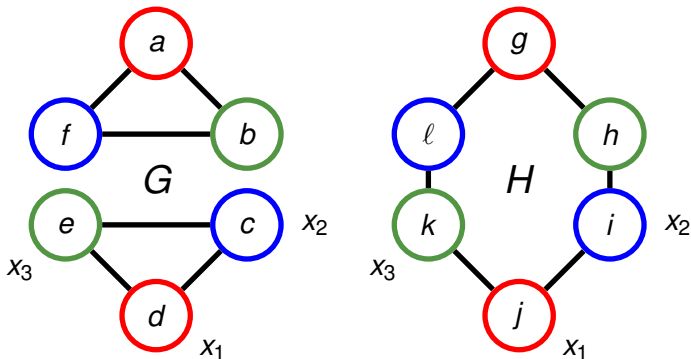


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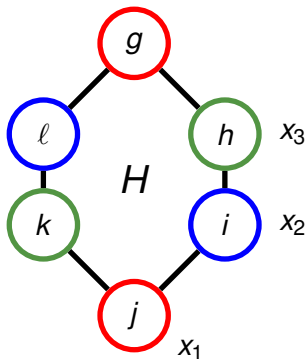
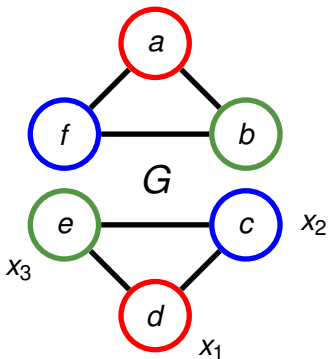


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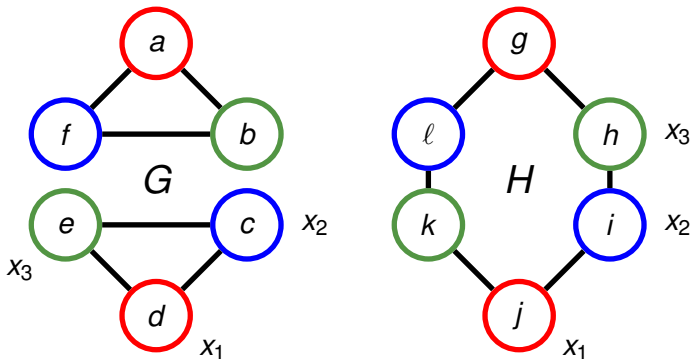
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For all m , **D** wins $\mathcal{G}_m^2(G, H)$; but **S** wins $\mathcal{G}_3^3(G, H)$.



Fundamental Thm of Ehrenfeucht-Fraïssé Games

Notation: $G \sim_m^k H$ means that **Delilah** has a winning strategy for $\mathcal{G}_m^k(G, H)$.

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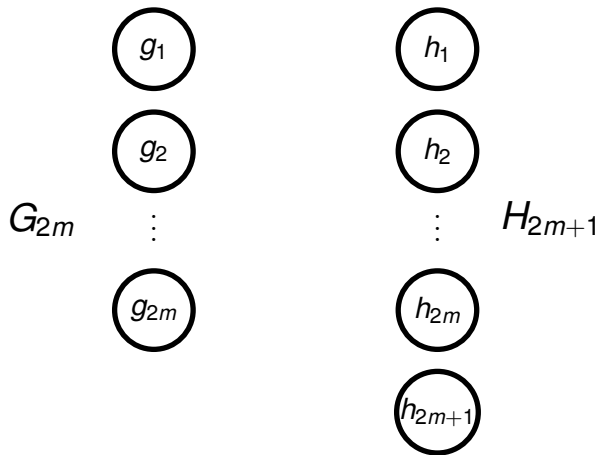
Thm. **D** has a winning strategy on the m -move, k -pebble game on G, H iff G and H agree on all formulas using k variables and quantifier depth m .

$$G \sim_m^k H \iff G \equiv_m^k H$$

Thm. **EVEN** requires $n + 1$ variables without ordering.
Thus **EVEN** \notin FO(wo \leq)(LFP).

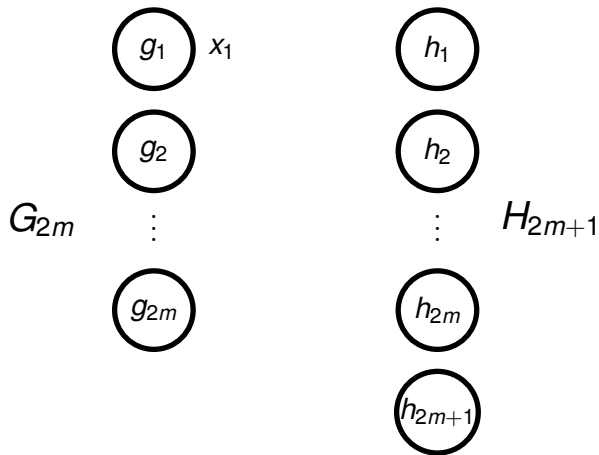
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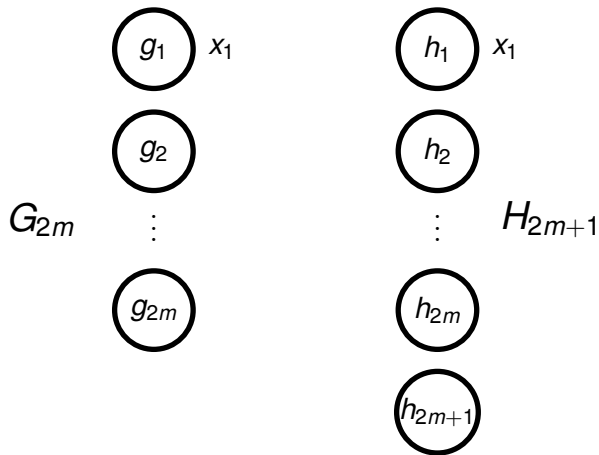
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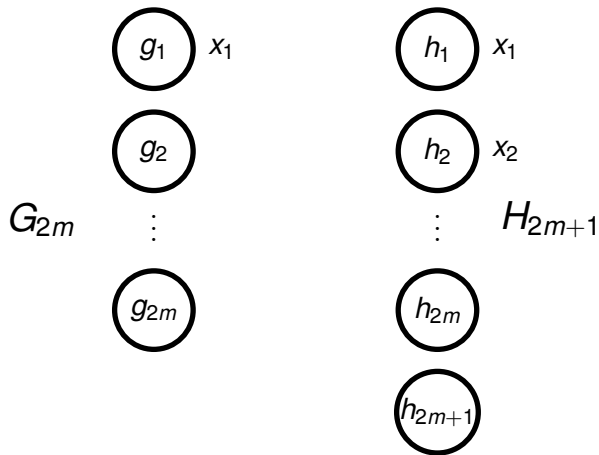
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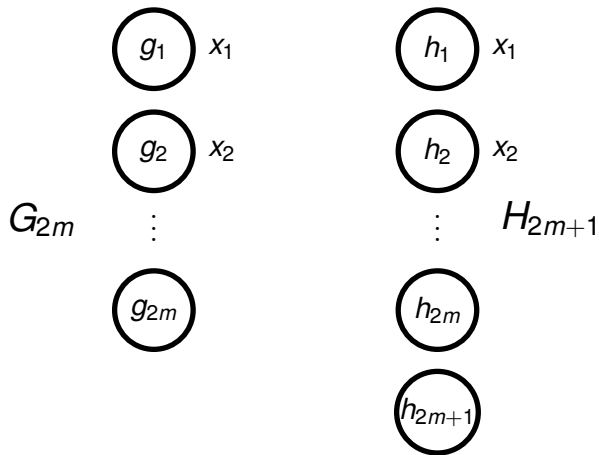
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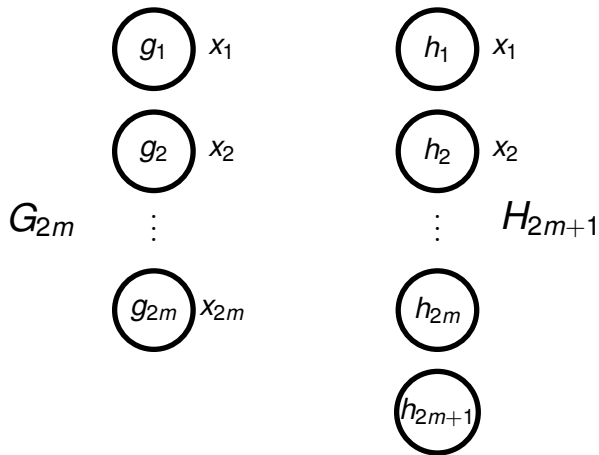
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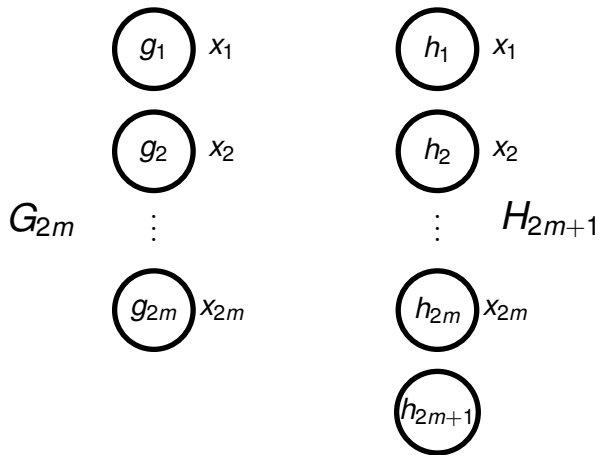
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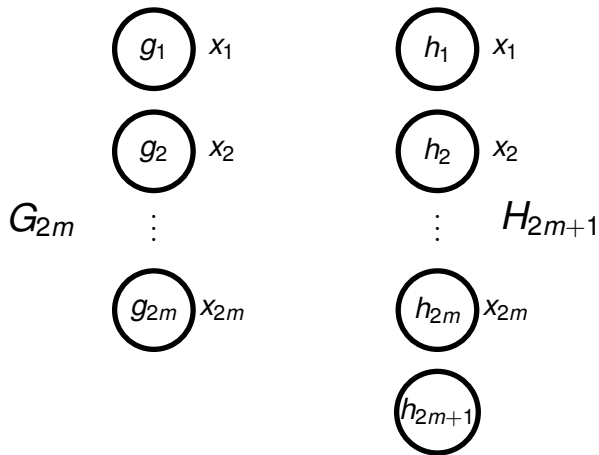
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$$G_{2m} \sim^{2m} H_{2m+1}$$



Add Counting to FO Logic

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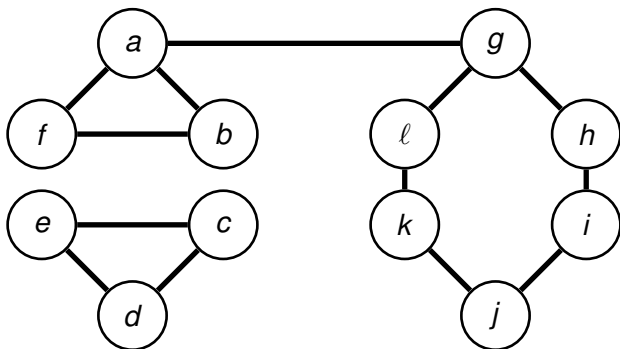
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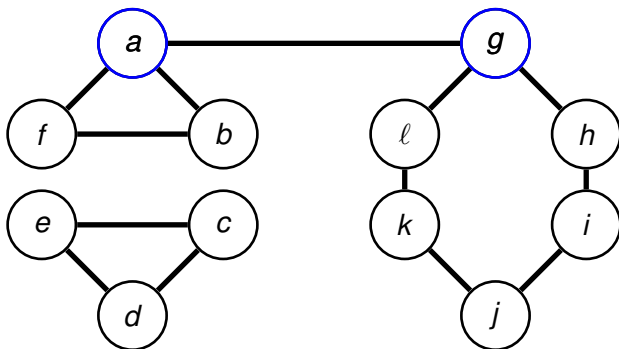
Stable Coloring of Vertices

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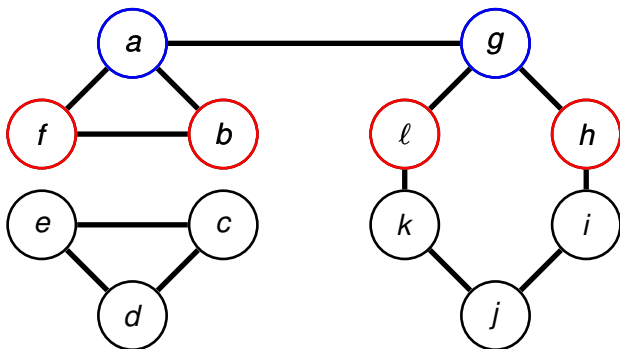
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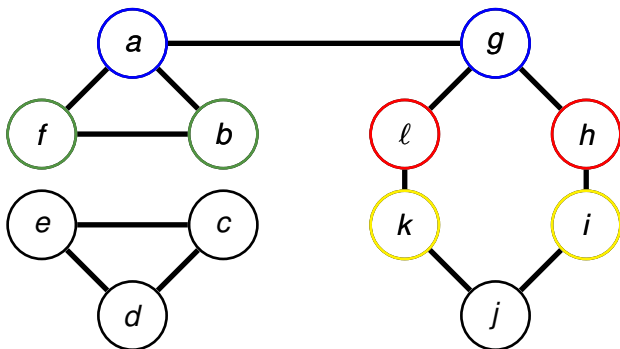
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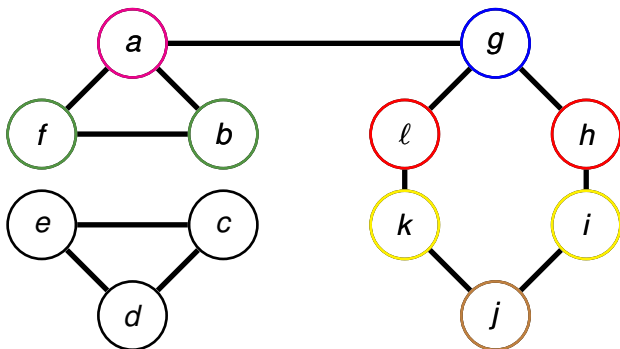
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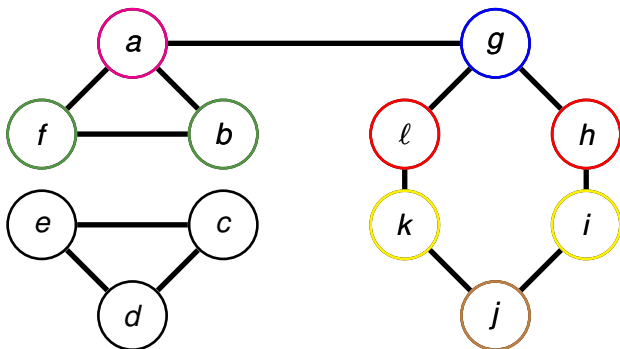
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Thm. Stable Coloring of Vertices = C^2 type.

Round m of stable coloring is quantifier depth of C^2 formula.

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Thm. [Babai, 2015] $GI \in \text{DTIME}[n^{\log^7 n}]$. (Before this it was only known that $GI \in \text{DTIME}[n^{\sqrt{n}}]$.)

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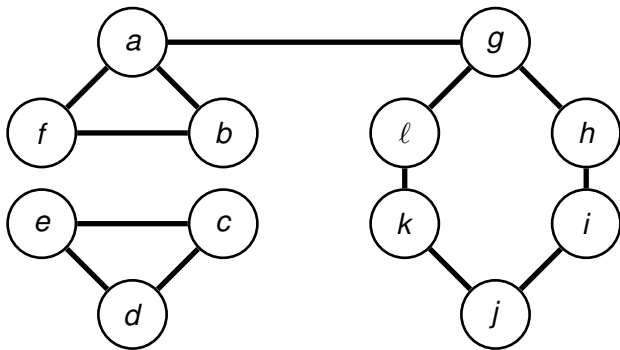
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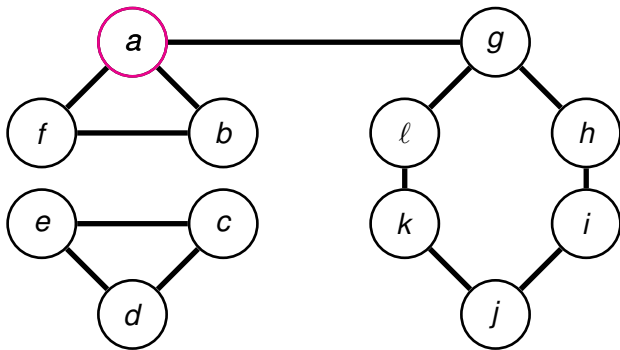
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proof: Apply arbitrary FO(LFP) formula to the canonical form of the input graph. □

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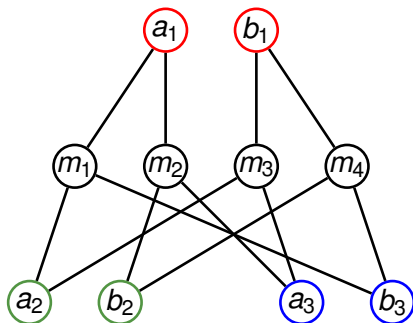
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Thm. [CFI] No!

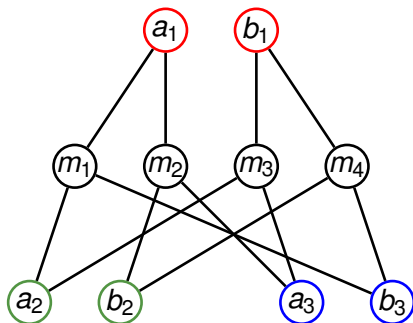
A simple graph property (now called the CFI property) checkable in $\text{DTIME}[n]$, requires $v = \Omega(n)$ variables to express in C^v . Thus, CFI \in OIP – FPC

Proof of CFI Thm



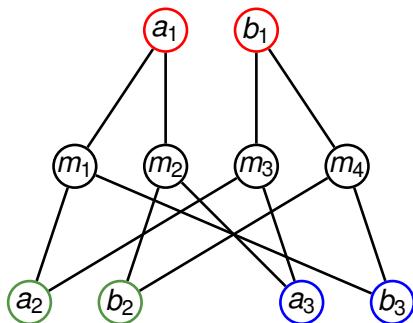
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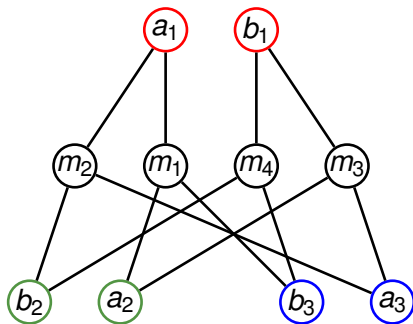
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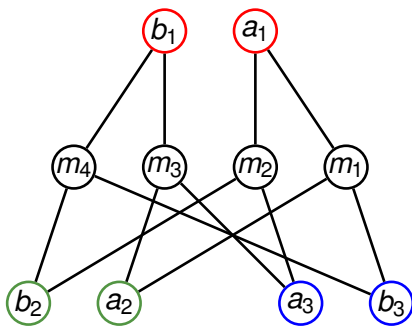
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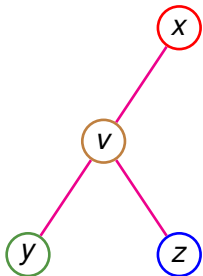
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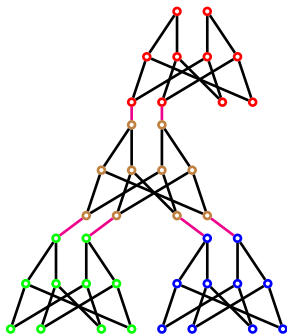
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- ▶ Thus $X(G_n)$ has color class size 4.

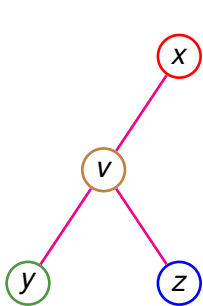


G_n

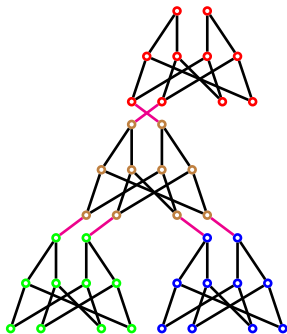


$X(G_n)$

$X(G_n)$: replace each vertex $v \in V^{G_n}$ by a copy of X of v 's color, connecting a to a and b to b .

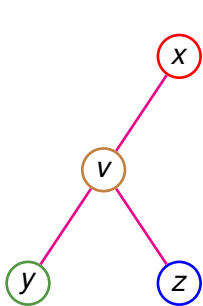


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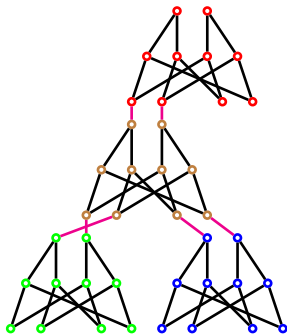


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G_n



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Prop. Let $X'(G_n)$ be $X(G_n)$ with some number, m , of the magenta edges flipped.

Then $X'(G_n) \cong X(G_n)$ iff m is even and

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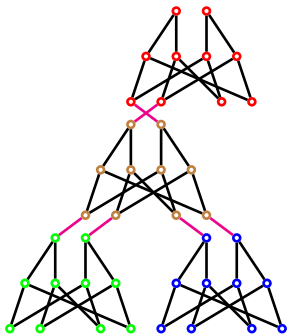
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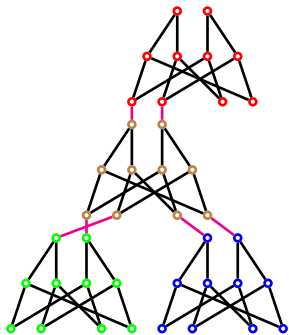
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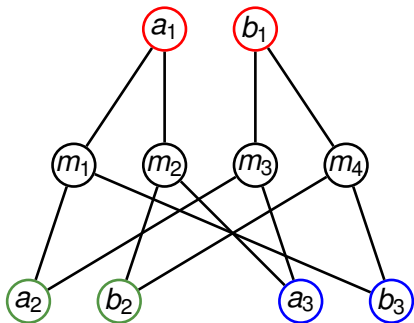
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$\tilde{X}(G_n)$

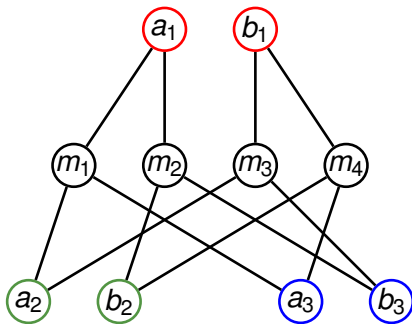


$\tilde{X}(G_n)$



X

Every one of the m_i 's is connected to an even number of a_j 's.



\tilde{X}

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The CFI Problem

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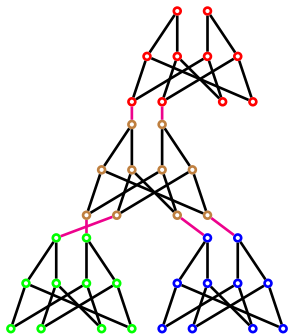
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proof Use the ordering to label boundary pairs a_i, b_i when $a_i \leq b_i$. Then count the number, m , of flips of vertices and edges mod 2. $X'(G) \in \text{CFI}$ iff m is even. □



$\tilde{X}(G_n)$

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Inductively, after step m , **Delilah** has not yet lost, so there is an isomorphism from chosen points in $X(G_n)$ to chosen points in $\tilde{X}(G_n)$ which extends to an isomorphism of the whole graphs in which a flip in \tilde{G}_n in C_m has been removed.

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Thus **Delilah** never loses. □

We have shown that the linear-time CFI problem is in **OIP** – FPC.

Cor. $\Omega(n)$ variables are needed to characterize graphs.

Recent Developments: FPC is Surprisingly Powerful

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Thm. [Anderson, Dawar and Holm] Linear Programming is in FPC.

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Going Beyond FPC

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What I want: more natural extension to FPC that adds group theory and characterizes graphs using $O(\log n)$ variables.

