

**Reading** Please read Sections 2.1 through 2.4 by the time this assignment is due. I suggest that you only read one section at a sitting and that you think about all of the exercises – assigned or not – to help make sure that you are understanding all the concepts as you read.

### Problems

1. [20 pts.] Another take on the compactness theorem for propositional logic is that every infinite binary tree has an infinite branch.

Nodes of a binary tree are naturally named by binary strings: The empty string,  $\epsilon$ , is the root. Each node at height  $n$  is named by a binary string  $w$  of length  $n$ . The left child of  $w$  is  $w0$  and the right child is  $w1$ .

Let  $T$  be such a binary tree.  $T$  has the property that if  $w \in \{0, 1\}^n$  is a node in the tree, then so are all its ancestors, i.e., the prefixes of  $w$ .

- (a) Prove that if  $T$  is an infinite binary tree, i.e, it has infinitely many nodes, then it has an infinite branch,  $\sigma$ , i.e, an infinite sequence,  $\sigma = (\epsilon, a_1, a_1a_2, a_1a_2a_3, \dots)$  where each  $a_i \in \{0, 1\}$ . [Hint: define  $\sigma$  inductively: since  $T$  is infinite, either the subtree whose root is 0 or the subtree whose root is 1 must be infinite. Keep going in this way.]

Now suppose that  $S$  is a set of propositional formulas every finite subset of which is satisfiable. Assume that  $S$  has no two formulas that are equivalent – otherwise we can just delete all but the first formula of each equivalence class. Define  $S_n = \{\alpha \in S \mid \text{atom}(\alpha) \subseteq \{A_1, \dots, A_n\}\}$ .

Since  $S_n$  is a finite subset of  $S$  it must be satisfiable by assumption. Let's encode any truth assignment that is defined exactly on the atoms  $\{A_1, \dots, A_n\}$  as a binary string of length  $n$ . For example, the string 01011 is the truth assignment that assigns 1 to  $A_2, A_4, A_5$  and 0 to  $A_1, A_3$ . Now define the tree of all satisfying truth assignments of the  $S_n$ 's as follows:  $T_S = \{w \mid \exists n \exists w \in \{0, 1\}^n; w \models S_n\}$ .

- (b) Show that  $T_S$  is an infinite binary tree. Conclude from (a) that  $T_S$  has an infinite branch,  $\sigma$  and that  $\sigma$  is a satisfying assignment of  $S$ . Thus you have proved the compactness theorem for propositional logic.
2. [15 pts.] Exercise 42, p. 43: Let  $\text{Free}(F)$  be the set of all variables that occur freely in  $F$ . Define  $\text{Free}(F)$  formally by induction on terms and then on formulas.
  3. [15 pts.] Exercise 45, p. 49: Consider the following sentences  $R, S, T$  which express that the predicate  $P$  is reflexive, symmetric, and transitive:

$$R = \forall x P(x, x)$$

$$S = \forall xy (P(x, y) \rightarrow P(y, x))$$

$$T = \forall xyz (P(x, y) \wedge P(y, z) \rightarrow P(x, z))$$

Show that these sentences are independent by constructing three structures that satisfy each possible pair of the sentences but not the third.

[Note that  $\wedge$  and  $\vee$  bind more tightly than  $\rightarrow$ , so I didn't use extra parentheses in the definition of  $T$ .]

4. [15 pts.], cf. Exercise 49, p. 50. Find two satisfiable sentences  $F_1, F_2$ , such that every model of  $F_1$  or of  $F_2$  has a universe of cardinality at least three.  $F_1$  should be in predicate logic without equality, and  $F_2$  should be in predicate logic with equality, and  $F_2$  should have no function symbols and no predicate symbols except  $=$ .
5. [15 pts.] Exercise 55, p. 52: show that the following pairs of formulas are not equivalent by constructing structures that satisfy one of them but not the other:

$$\begin{aligned}(\forall xP(x) \vee \forall xQ(x)) &\not\equiv \forall x(P(x) \vee Q(x)) \\(\exists xP(x) \wedge \exists xQ(x)) &\not\equiv \exists x(P(x) \wedge Q(x))\end{aligned}$$

6. [20 pts.] Exercise 57, p. 53: Prove that  $\exists u\forall vP(v, u) \models \forall x\exists yP(x, y)$ , but that these formulas are not equivalent.