

## Converting FO Formula to Equivalent Formula in Rectified Prenex Normal (RPF) Form

1. Remove all “ $\rightarrow$ ”s using the fact that  $\alpha \rightarrow \beta \equiv \neg\alpha \vee \beta$ .
2. Push all “ $\neg$ ”s all the way inside using de Morgan and quantifier rules:
  - $\neg(\alpha \wedge \beta) \equiv \neg\alpha \vee \neg\beta$
  - $\neg(\alpha \vee \beta) \equiv \neg\alpha \wedge \neg\beta$
  - $\neg\forall x(\varphi) \equiv \exists x(\neg\varphi)$
  - $\neg\exists x(\varphi) \equiv \forall x(\neg\varphi)$
3. **Rectify** by renaming bound variables so each occurs only once and no bound variable also occurs free.
4. Pull quantifiers out using the following rules, assuming that  $x$  does not occur in  $\alpha$ :
  - $\alpha \wedge \forall x(\beta) \equiv \forall x(\alpha \wedge \beta)$
  - $\alpha \vee \forall x(\beta) \equiv \forall x(\alpha \vee \beta)$
  - $\alpha \wedge \exists x(\beta) \equiv \exists x(\alpha \wedge \beta)$
  - $\alpha \vee \exists x(\beta) \equiv \exists x(\alpha \vee \beta)$

### Converting an RPF Formula $\varphi$ to its Skolemization $\varphi_S$

- Remove each existential quantifier  $\exists x$  and replace each occurrence of  $x$  by the term  $f(u_1, \dots, u_r)$  where  $u_1, \dots, u_r$  are the universally quantified variables whose quantifiers occur **before**  $\exists x$ .

#### Example:

$$\begin{aligned}\varphi &= \exists x_1 \forall y \exists x_2 \forall z \exists x_3 (R(x_1) \wedge (E(x_1, y) \rightarrow S(y, x_2)) \wedge (E(x_2, z) \rightarrow T(z, x_3))) \\ \varphi_S &= \forall y \forall z (R(a_1) \wedge (E(a_1, y) \rightarrow S(y, f_2(y))) \wedge (E(f_2(y), z) \rightarrow T(z, f_3(y, z))))\end{aligned}$$

**Thm:** If  $\varphi_S$  is the Skolemization of  $\varphi$ , then  $\varphi \in \text{FO-SAT} \Leftrightarrow \varphi_S \in \text{FO-SAT}$ .

**Note:** In using step 4 above in the Prenex Algorithm, you have some choice which quantifier to pull out first, e.g., for  $\varphi = (\exists x R(x)) \wedge (\forall y S(y))$ , you may pull out either quantifier first, thus,

$$\varphi \equiv \exists x \forall y (R(x) \wedge S(y)) \equiv \forall y \exists x (R(x) \wedge S(y)) .$$

When you have this choice, it is better to pull the  $\exists$ 's out in front of the  $\forall$ 's. This way, your Skolem functions won't needlessly take irrelevant universally quantified variables as arguments. In the above example,  $\varphi_S \equiv \forall y (R(c) \wedge S(y))$ , is the preferred Skolemization of  $\varphi$ .  $\varphi'_S \equiv \forall y (R(f(y)) \wedge S(y))$  is also correct, but less convenient.