

**Problems:**

1. [30 pts.] Define  $\text{SO}\exists$ -Horn to be the set of all formulas,

$$\Phi \equiv \exists R_1^{a_1} \dots R_k^{a_k} \forall x_1 \dots x_r (\psi),$$

such that  $\Phi \in \text{SO}\exists$  and the first-order part of  $\Phi$  is universal and the quantifier-free part,  $\psi$ , is a Horn formula in the sense that it is in CNF and each clause has at most one positive literal such as  $R_i(v_1, \dots, v_{a_i})$ . That is we don't care about positive literals involving the numeric relations, i.e.,  $=, \leq, \text{Suc}$ , or input relations, e.g.,  $E$ , only about the existentially quantified relations  $R_i$ .

For example, the clause,  $(R_i(x_1) \vee \neg R_j(x_2) \vee E(x_1, x_2) \vee x_1 = x_2)$  counts as Horn because there is only one positive literal,  $R_i(x_1)$  that counts.  $E(x_1, x_2)$  does not count because it uses an input relation and  $x_1 = x_2$  does not count because it uses a numeric relation. However, the clause  $(R_i(x_1) \vee R_j(x_2))$  is not Horn.

(See Papadimitriou, or the slides from lecture 10 for an algorithm showing that  $\text{HORN-SAT} \in \text{P}$ .)

- (a) Prove that  $\text{SO}\exists\text{-Horn} \subseteq \text{P}$ .
  - (b) Modify the argument in my proof of Fagin's Theorem from Lecture 18 to prove Grädel's Theorem:  $\text{SO}\exists\text{-Horn} = \text{P}$ . Note: we are assuming that all structures are given the numerical relations  $\leq, \text{Suc}$  and constants  $0, \text{max}$ .
  - (c) Modify the argument in my proof of Cook's Theorem from Fagin's Theorem from Lecture 18 to prove, using Grädel's Theorem, that  $\text{HORN-SAT}$  is P-complete.
2. [20 pts.] Prove that  $\text{EMPTY-DFA}$ , the language of all DFAs  $D$  such that  $\mathcal{L}(D) = \emptyset$ , is NL complete.
3. [20 pts.] Prove that the following problem is P complete:
- $$U_{\text{time}} = \{x\#w\#^r \mid M_x \text{ accepts } w \text{ in less than or equal to } r \text{ steps}\}$$
4. [30 pts.] Here is a pair of related problems concerning the complexity class Primitive Recursive.

- (a) Let  $t : \mathbf{N} \rightarrow \mathbf{Z}^+$  be any primitive recursive function. Prove using the COMP Theorem that

$$\text{DTIME}[t(n)] \subseteq \text{Primitive Recursive}$$

[To do this you should look somewhat carefully at the encoding in the COMP theorem and at the definition of  $\text{DTIME}[t(n)]$ .]

Conclude that  $\text{P} \subseteq \text{Primitive Recursive}$ ,  $\text{EXPTIME} \subseteq \text{Primitive Recursive}$ ,  $\text{DTIME}[\text{hyperExp}(n)] \subseteq \text{Primitive Recursive}$ , etc.

- (b) Show that there is no problem that is complete for Primitive Recursive, via logspace reductions.