

# Probabilistic Context Free Grammars

*Lecture #14*

**Computational Linguistics  
CMPSCI 591N, Spring 2006**



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(including slides from Jason Eisner)

# Ambiguity in Parsing

- Time flies like an arrow.
- Fruit flies like a banana.
  
- I saw the man with the telescope.

# How to solve this combinatorial explosion of ambiguity?

1. First try parsing without any weird rules, throwing them in only if needed.
2. Better: every rule has a weight.  
A tree's weight is total weight of all its rules.  
Pick the overall "lightest" parse of sentence.
3. Can we pick the weights automatically?  
We'll get to this later ...

# CYK Parser

Input: A string of words, grammar in CNF

Output: yes/no

Data structure:  $n \times n$  table

rows labeled 0 to  $n-1$ , columns 1 to  $n$

cell  $(i,j)$  lists constituents spanning  $i,j$

For each  $i$  from 1 to  $n$

Add to  $(i-1,i)$  all Nonterminals that could produce the word at  $(i-1,i)$

time 1 flies 2 like 3 an 4 arrow 5

0	NP 3 Vst 3				
1		NP 4 VP 4			
2			P 2 V 5		
3				Det 1	
4					N 8

- 1 S → NP VP
- 6 S → Vst NP
- 2 S → S PP
- 1 VP → V NP
- 2 VP → VP PP
- 1 NP → Det N
- 2 NP → NP PP
- 3 NP → NP NP
- 0 PP → P NP

# CYK Parser

For **width** from 2 to n

For **start** from 0 to n-width

Define **end** to be **start+width**

For **mid** from **start+1** to **end-1**

For every constituent in (**start, mid**)

For every constituent in (**mid,end**)

For all ways of combining them (if any)

Add the resulting constituent to (**start,end**).

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time 1 flies 2 like 3 an 4 arrow 5

0	NP 3 Vst 3	NP 10			
1		NP 4 VP 4			
2			P 2 V 5		
3				Det 1	
4					N 8

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time 1 flies 2 like 3 an 4 arrow 5

0	NP 3 Vst 3	NP 10 S 8			
1		NP 4 VP 4			
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time 1 flies 2 like 3 an 4 arrow 5

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time 1 flies 2 like 3 an 4 arrow 5

0	NP 3 Vst 3	NP 10 S 8 S 13			
1		NP 4 VP 4			
2			P 2 V 5		PP 12
3				Det 1	NP 10
4					N 8

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time 1 flies 2 like 3 an 4 arrow 5

0	NP 3 Vst 3	NP 10 S 8 S 13			
1		NP 4 VP 4			
2			P 2 V 5		PP 12 VP 16
3				Det 1	NP 10
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time 1 flies 2 like 3 an 4 arrow 5

0	NP 3 Vst 3	NP 10 S 8 S 13			
1		NP 4 VP 4			NP 18
2			P 2 V 5		PP 12 VP 16
3				Det 1	NP 10
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1		NP 4 VP 4			NP 18 S 21
2			P 2 V 5		PP 12 VP 16
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1		NP 4 VP 4			NP 18 S 21 VP 18
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time 1 flies 2 like 3 an 4 arrow 5

0	NP 3 Vst 3	NP 10 S 8 S 13			NP 24
1		NP 4 VP 4			NP 18 S 21 VP 18
2			P 2 V 5		PP 12 VP 16
3				Det 1	NP 10
4					N 8

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time 1 flies 2 like 3 an 4 arrow 5

0	NP 3 Vst 3	NP 10 S 8 S 13			NP 24 S 22
1		NP 4 VP 4			NP 18 S 21 VP 18
2			P 2 V 5		PP 12 VP 16
3				Det 1	NP 10
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1		NP 4 VP 4			NP 18 S 21 VP 18
2			P 2 V 5		PP 12 VP 16
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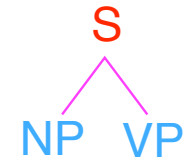
# Follow backpointers ...

S

time 1 flies 2 like 3 an 4 arrow 5

0	NP 3 Vst 3	NP 10 S 8 S 13			NP 24 S 22 S 27 NP 24 S 27 S 22 S 27
1		NP 4 VP 4			NP 18 S 21 VP 18
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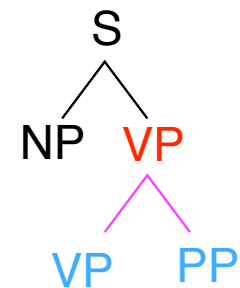
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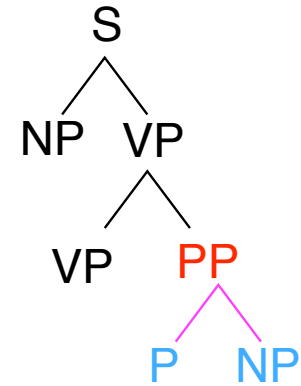
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3				Det 1	NP 10
4					N 8

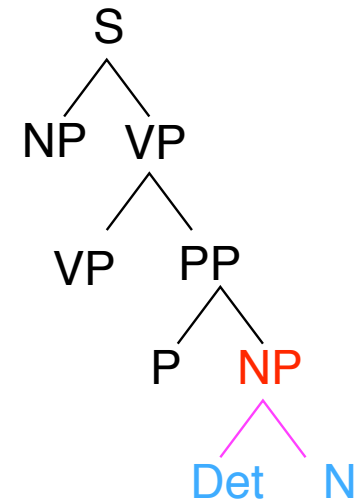


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time 1 flies 2 like 3 an 4 arrow 5

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1		NP 4 VP 4			NP 18 S 21 VP 18
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# Which entries do we need?

time 1 flies 2 like 3 an 4 arrow 5

0	NP 3 Vst 3	NP 10 S 8 S 13			NP 24 S 22 S 27 NP 24 S 27 <b>S 22</b> S 27
1		NP 4 VP 4			NP 18 S 21 VP 18
2			P 2 V 5		PP 12 VP 16
3				Det 1	NP 10
4					N 8

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time 1 flies 2 like 3 an 4 arrow 5

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# Not worth keeping ...

time 1 flies 2 like 3 an 4 arrow 5

0	NP 3 Vst 3	NP 10 S 8 S 13			NP 24 S 22 S 27 NP 24 S 27 S 22 S 27
1		NP 4 VP 4			NP 18 S 21 VP 18
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# ... since it just breeds worse options

time 1 flies 2 like 3 an 4 arrow 5

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# Keep only best-in-class!

time 1 flies 2 like 3 an 4 arrow 5

0	NP 3 Vst 3	NP 10 S 8 S 13			NP 24 S 22 S 27 NP 24 S 27 S 22 S 27
1		NP 4 VP 4			NP 18 S 21 VP 18
2			P 2 V 5		PP 12 VP 16
3				Det 1	NP 10
4					N 8

inferior stock

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# Keep only best-in-class!

(and backpointers so you can recover parse)

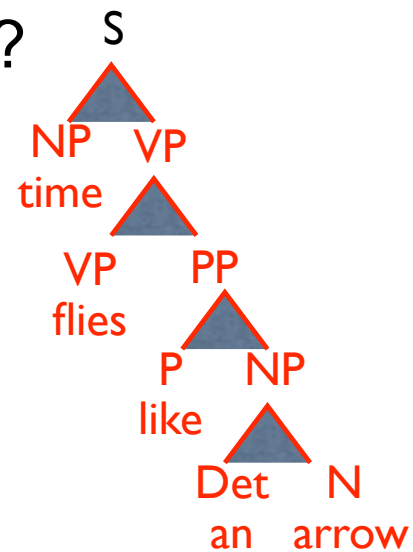
time 1 flies 2 like 3 an 4 arrow 5

	NP 3 Vst 3	NP 10 S 8			NP 24 S 22
1		NP 4 VP 4			NP 18 S 21 VP 18
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# Probabilistic Trees

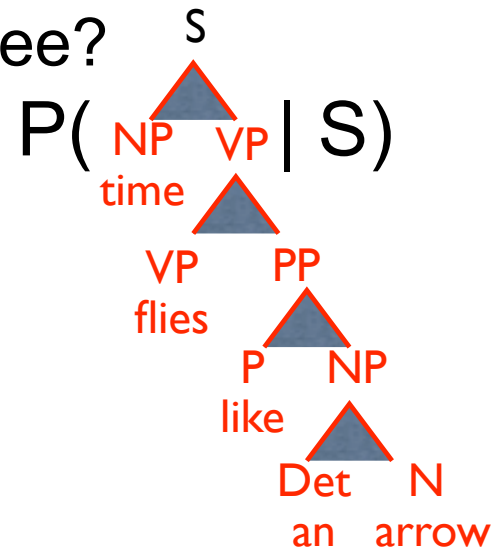
- Instead of lightest weight tree, take highest probability tree
- Given any tree, your assignment generator would have some probability of producing it!
- Just like using n-grams to choose among strings ...
- What is the probability of this tree?





# Probabilistic Trees

- Instead of lightest weight tree, take highest probability tree
- Given any tree, your assignment generator would have some probability of producing it!
- Just like using n-grams to choose among strings ...
- What is the probability of this tree?



- You rolled a lot of independent dice...

# Chain rule: One word at a time

$$\begin{aligned} p(\text{time flies like an arrow}) \\ = & p(\text{time}) \\ & * p(\text{flies} \mid \text{time}) \\ & * p(\text{like} \mid \text{time flies}) \\ & * p(\text{an} \mid \text{time flies like}) \\ & * p(\text{arrow} \mid \text{time flies like an}) \end{aligned}$$

# Chain rule + backoff (to get trigram model)

$$\begin{aligned} p(\text{time flies like an arrow}) \\ = & p(\text{time}) \\ & * p(\text{flies} \mid \text{time}) \\ & * p(\text{like} \mid \text{time flies}) \\ & * p(\text{an} \mid \text{time flies like}) \\ & * p(\text{arrow} \mid \text{time flies like an}) \end{aligned}$$

# Chain rule – written differently

$$\begin{aligned} & p(\text{time flies like an arrow}) \\ &= p(\text{time}) \\ &\quad * p(\text{time flies} \mid \text{time}) \\ &\quad * p(\text{time flies like} \mid \text{time flies}) \\ &\quad * p(\text{time flies like an} \mid \text{time flies like}) \\ &\quad * p(\text{time flies like an arrow} \mid \text{time flies like an}) \end{aligned}$$

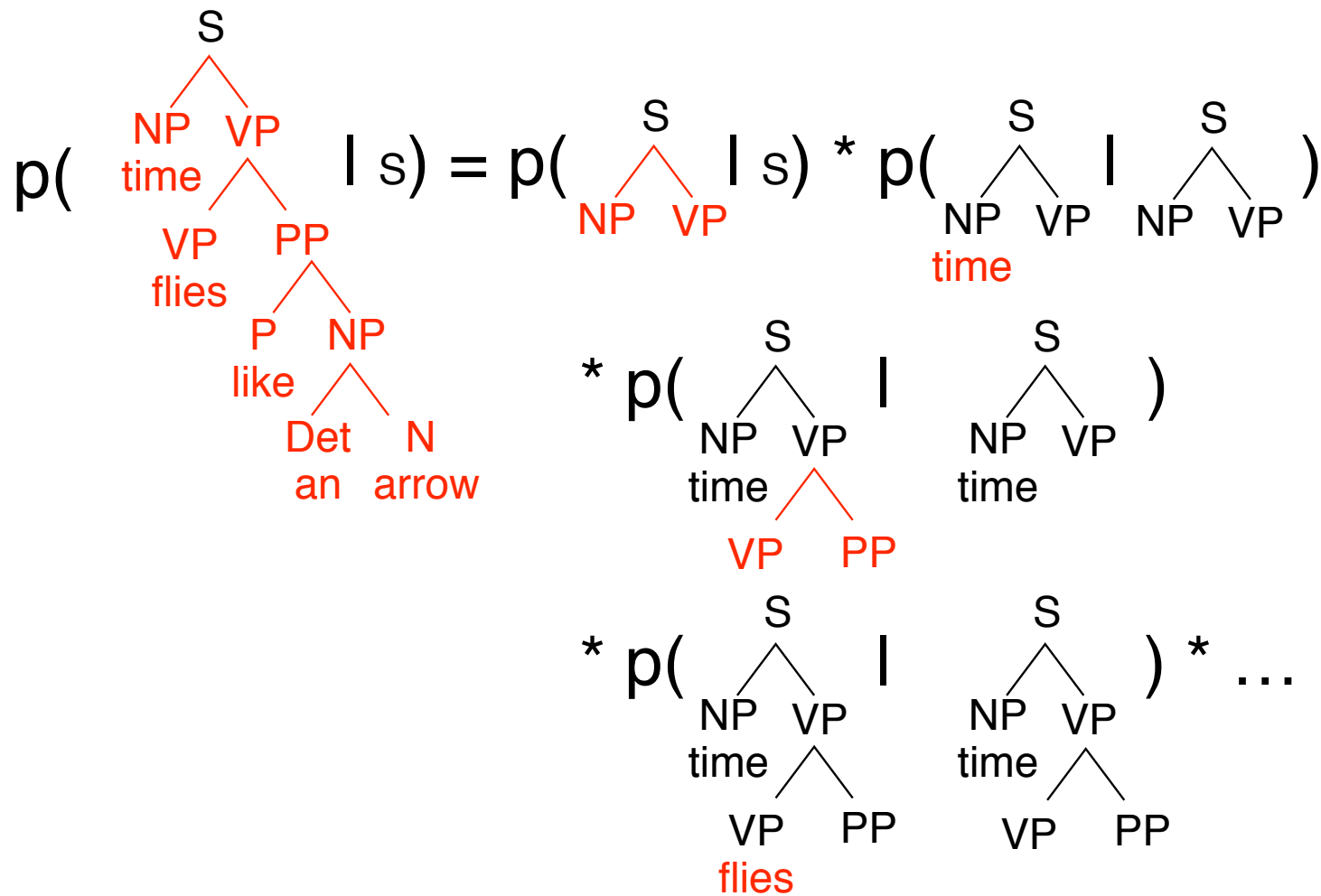
*Proof:*  $p(x, y \mid x) = p(x \mid x) * p(y \mid x, x) = 1 * p(y \mid x)$

# Chain rule + backoff

$$\begin{aligned} & p(\text{time flies like an arrow}) \\ &= p(\text{time}) \\ & \quad * p(\text{time flies} \mid \text{time}) \\ & \quad * p(\text{time flies like} \mid \text{time flies}) \\ & \quad * p(\text{time flies like an} \mid \text{time flies like}) \\ & \quad * p(\text{time flies like an arrow} \mid \text{time flies like an}) \end{aligned}$$

*Proof:*  $p(x, y \mid x) = p(x \mid x) * p(y \mid x, x) = 1 * p(y \mid x)$

# Chain rule: One node at a time



# Chain rule + backoff

$$\begin{aligned}
 p( & \begin{array}{c} S \\ \swarrow \quad \searrow \\ NP \quad VP \\ \text{time} \quad \swarrow \quad \searrow \\ VP \quad PP \\ \text{flies} \quad \swarrow \quad \searrow \\ P \quad NP \\ \text{like} \quad \swarrow \quad \searrow \\ Det \quad N \\ \text{an} \quad \text{arrow} \end{array} \mid s) = p( \begin{array}{c} S \\ \swarrow \quad \searrow \\ NP \quad VP \end{array} \mid s) * p( \begin{array}{c} S \\ \swarrow \quad \searrow \\ NP \quad VP \\ \text{time} \end{array} \mid \begin{array}{c} S \\ \swarrow \quad \searrow \\ NP \quad VP \end{array} ) \\
 & * p( \begin{array}{c} S \\ \swarrow \quad \searrow \\ NP \quad VP \\ \text{time} \quad \swarrow \quad \searrow \\ VP \quad PP \end{array} \mid \begin{array}{c} S \\ \swarrow \quad \searrow \\ NP \quad VP \\ \text{time} \end{array} ) \\
 & * p( \begin{array}{c} S \\ \swarrow \quad \searrow \\ NP \quad VP \\ \text{time} \quad \swarrow \quad \searrow \\ VP \quad PP \\ \text{flies} \end{array} \mid \begin{array}{c} S \\ \swarrow \quad \searrow \\ NP \quad VP \\ \text{time} \quad \swarrow \quad \searrow \\ VP \quad PP \end{array} ) * \dots
 \end{aligned}$$

# Simplified notation

$$\begin{array}{l}
 \begin{array}{c}
 \text{S} \\
 \swarrow \quad \searrow \\
 \text{NP} \quad \text{VP} \\
 \text{time} \quad \swarrow \quad \searrow \\
 \quad \text{VP} \quad \text{PP} \\
 \quad \text{flies} \quad \swarrow \quad \searrow \\
 \quad \quad \text{P} \quad \text{NP} \\
 \quad \quad \text{like} \quad \swarrow \quad \searrow \\
 \quad \quad \quad \text{Det} \quad \text{N} \\
 \quad \quad \quad \text{an} \quad \text{arrow}
 \end{array}
 \end{array}
 \quad
 \begin{array}{l}
 p(\text{S} \rightarrow \text{NP VP} \mid \text{S}) * p(\text{NP} \rightarrow \text{flies} \mid \text{NP}) \\
 * p(\text{VP} \rightarrow \text{VP NP} \mid \text{VP}) \\
 * p(\text{VP} \rightarrow \text{flies} \mid \text{VP}) * \dots
 \end{array}$$



## Already have a CKY alg for weights ...

$$\begin{array}{c}
 \text{S} \\
 \swarrow \quad \searrow \\
 \text{NP} \quad \text{VP} \\
 \text{time} \quad \swarrow \quad \searrow \\
 \quad \quad \text{VP} \quad \text{PP} \\
 \quad \quad \text{flies} \quad \swarrow \quad \searrow \\
 \quad \quad \quad \quad \text{P} \quad \text{NP} \\
 \quad \quad \quad \quad \text{like} \quad \swarrow \quad \searrow \\
 \quad \quad \quad \quad \quad \quad \text{Det} \quad \text{N} \\
 \quad \quad \quad \quad \quad \quad \text{an} \quad \text{arrow}
 \end{array}$$

$$\begin{aligned}
 w(\text{time} \text{ VP} \mid \text{S}) &= w(\text{S} \rightarrow \text{NP VP}) + w(\text{NP} \rightarrow \text{flies} \mid \text{NP}) \\
 &+ w(\text{VP} \rightarrow \text{VP NP}) \\
 &+ w(\text{VP} \rightarrow \text{flies}) + \dots
 \end{aligned}$$

**Just let  $w(x \rightarrow yz) = -\log p(x \rightarrow yz \mid x)$**

**Then lightest tree has highest prob** <sup>49</sup>

time 1 flies 2 like 3 an 4 arrow 5

0	NP 3 Vst 3	NP 10 S 8 S 13			NP 24 S 22 S 27 NP 24 S 27 S 27
1		NP 4 VP 4			NP 18 S 21 VP 18
2			P 2 V 5		PP 12 VP 16
3				Det 1	NP 10
4					N 8

multiply to get 2<sup>-22</sup>

2<sup>-8</sup>

2<sup>-12</sup>

2<sup>-2</sup>

- 1 S → NP VP
- 6 S → Vst NP
- 2 S → S PP
- 1 VP → V NP
- 2 VP → VP PP
- 1 NP → Det N
- 2 NP → NP PP
- 3 NP → NP NP
- 0 PP → P NP

# Need only best-in-class to get best parse

time 1 flies 2 like 3 an 4 arrow 5

0	NP 3 Vst 3	NP 10 S 8 S 13			NP 24 S 22 S 27 NP 24 S 27 S 27
1		NP 4 VP 4			NP 18 S 21 VP 18
2			P 2 V 5		PP 12 VP 16
3				Det 1	NP 10
4					N 8

2-13

2-8

multiply to get 2-22

2-12

2-2

- 1 S → NP VP
- 6 S → Vst NP
- 2 S → S PP
- 1 VP → V NP
- 2 VP → VP PP
- 1 NP → Det N
- 2 NP → NP PP
- 3 NP → NP NP
- 0 PP → P NP

# Why probabilities not weights?

- We just saw probabilities are really just a special case of weights ...
- ... *but* we can estimate them from training data by counting and smoothing! Use all of our lovely probability theory machinery!

# Probabilistic Context Free Grammars

A PCFG  $G$  consists of the usual parts of a CFG

- A set of terminals,  $\{w^k\}, k = 1, \dots, V$
- A set of nonterminals,  $\{N^i\}, i = 1, \dots, n$
- A designated start symbol,  $N^1$
- A set of rules,  $\{N^i \rightarrow \zeta^j\}$ , (where  $\zeta^j$  is a sequence of terminals and nonterminals)

and

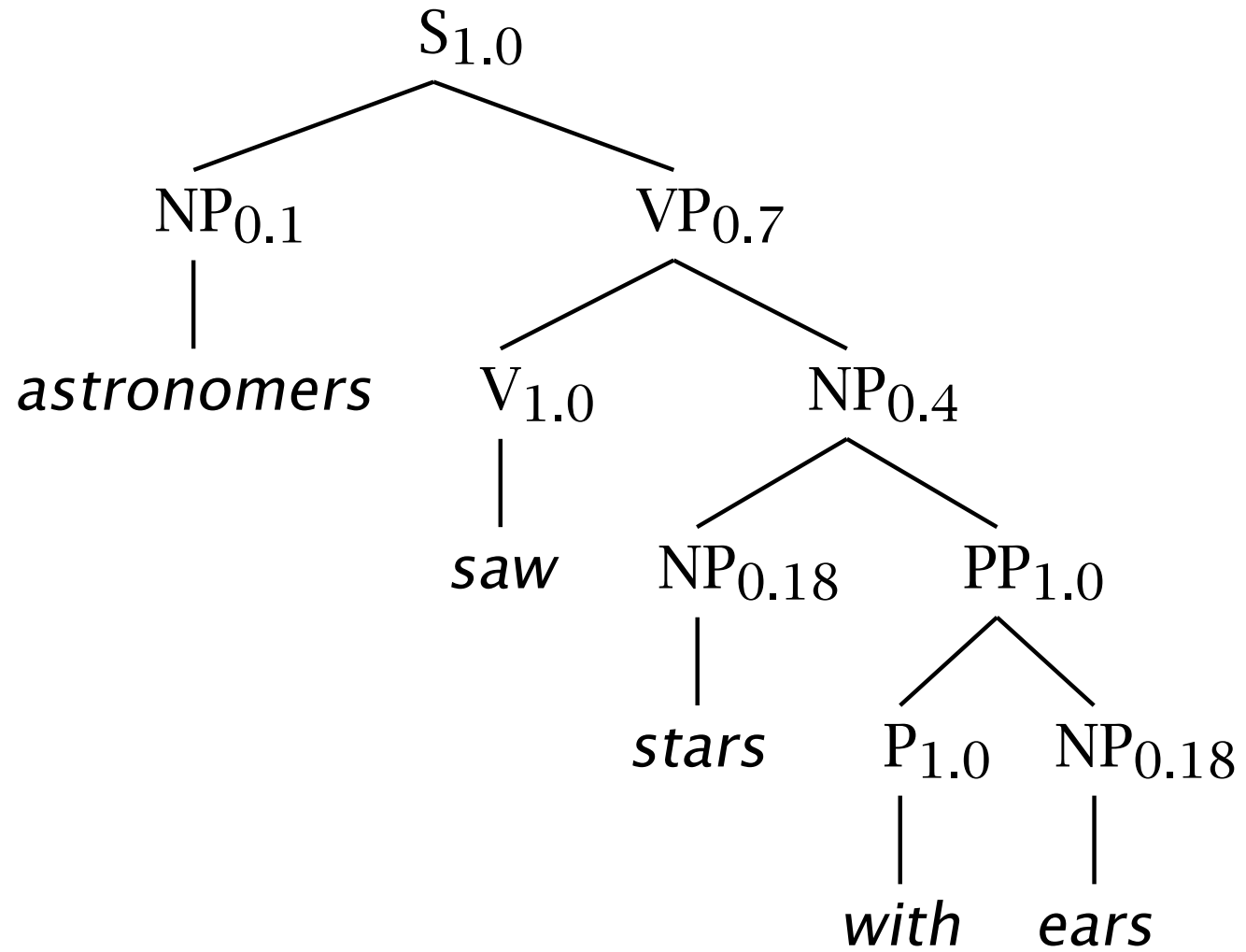
- A corresponding set of probabilities on rules such that:

$$\forall i \quad \sum_j P(N^i \rightarrow \zeta^j) = 1$$

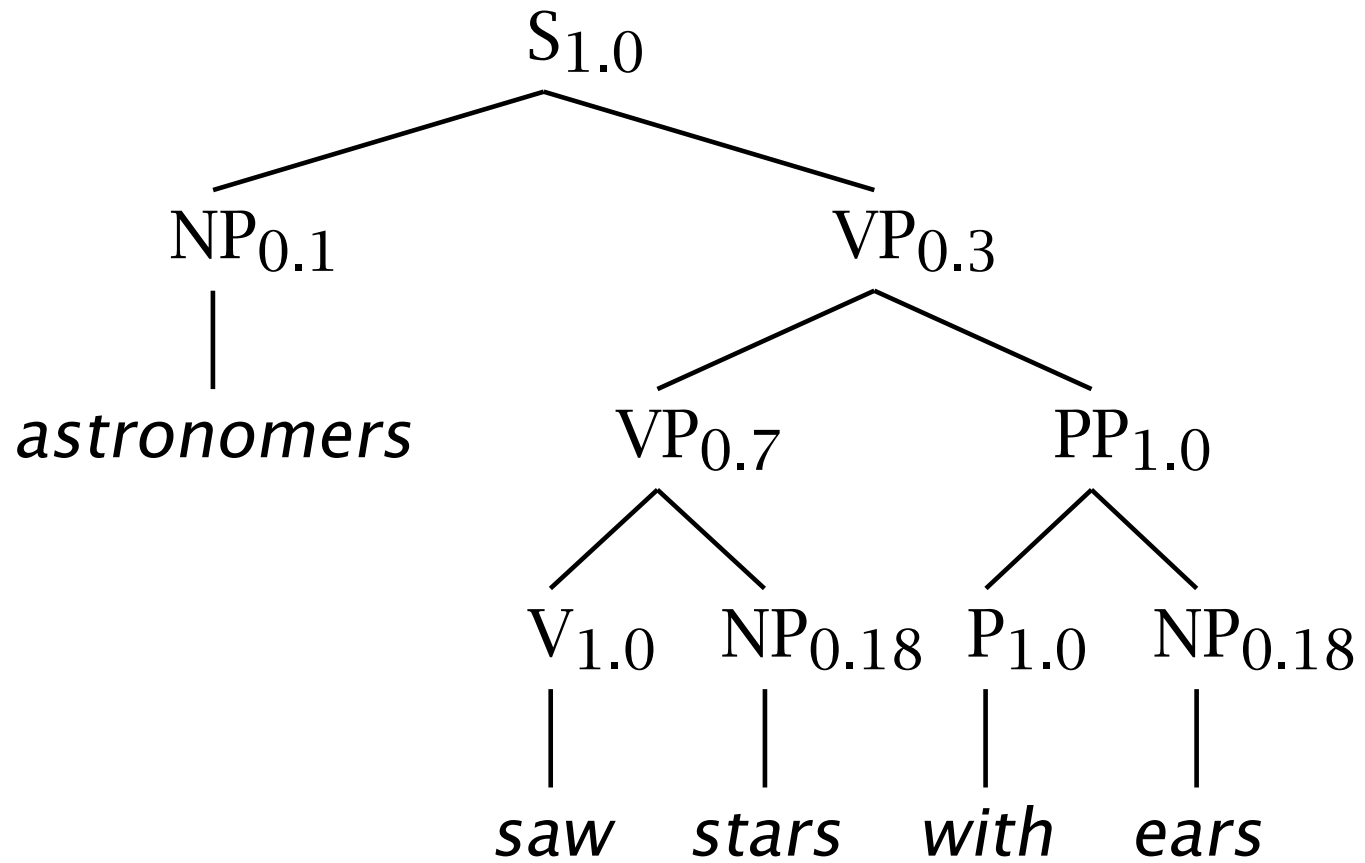
# A simple PCFG (in CNF)

S → NP VP	1.0	NP → NP PP	0.4
PP → P NP	1.0	NP → <i>astronomers</i>	0.1
VP → V NP	0.7	NP → <i>ears</i>	0.18
VP → VP PP	0.3	NP → <i>saw</i>	0.04
P → <i>with</i>	1.0	NP → <i>stars</i>	0.18
V → <i>saw</i>	1.0	NP → <i>telescopes</i>	0.1

$t_1$ :



*t*<sub>2</sub>:





## The two parse trees' probabilities and the sentence probability

$$\begin{aligned}P(t_1) &= 1.0 \times 0.1 \times 0.7 \times 1.0 \times 0.4 \\ &\quad \times 0.18 \times 1.0 \times 1.0 \times 0.18 \\ &= 0.0009072\end{aligned}$$

$$\begin{aligned}P(t_2) &= 1.0 \times 0.1 \times 0.3 \times 0.7 \times 1.0 \\ &\quad \times 0.18 \times 1.0 \times 1.0 \times 0.18 \\ &= 0.0006804\end{aligned}$$

$$P(w_{15}) = P(t_1) + P(t_2) = 0.0015876$$

# Assumptions of PCFGs

1. Place invariance (like time invariance in HMM):

$$\forall k \quad P(N_{k(k+c)}^j \rightarrow \zeta) \text{ is the same}$$

2. Context-free:

$$P(N_{kl}^j \rightarrow \zeta | \text{words outside } w_k \dots w_l) = P(N_{kl}^j \rightarrow \zeta)$$

3. Ancestor-free:

$$P(N_{kl}^j \rightarrow \zeta | \text{ancestor nodes of } N_{kl}^j) = P(N_{kl}^j \rightarrow \zeta)$$

The sufficient statistics of a PCFG are thus simply counts of how often different local tree configurations occurred (= counts of which grammar rules were applied).

# Some features of PCFGs

Reasons to use a PCFG, and some idea of their limitations:

- Partial solution for grammar ambiguity: a PCFG gives some idea of the plausibility of a sentence.
- But, in the simple case, not a very good idea, as independence assumptions are too strong (e.g., not lexicalized).
- Gives a probabilistic language model for English.
- In the simple case, a PCFG is a worse language model for English than a trigram model.
- Better for grammar induction (Gold 1967 vs. Horning 1969)
- Robustness. (Admit everything with low probability.)

# Some features of PCFGs

- A PCFG encodes certain biases, e.g., that smaller trees are normally more probable.
- One can hope to combine the strengths of a PCFG and a trigram model.

We'll look at simple PCFGs first. They have certain inadequacies, but we'll see that most of the state-of-the-art probabilistic parsers are fundamentally PCFG models, just with various enrichments to the grammar