## CMPSCI 611: Advanced Algorithms Lecture 5: Greedy Algorithms and Matroids

Andrew McGregor

Last Compiled: February 13, 2024

### Definition

A subset system S = (E, I) is a finite set E with a collection I of subsets E such that:

 $\text{ if } A \in \mathcal{I} \text{ and } B \subset A \text{ then } B \in \mathcal{I} \\$ 

i.e., " $\mathcal{I}$  is closed under inclusion"

### Definition

A subset system S = (E, I) is a finite set E with a collection I of subsets E such that:

 $\text{ if } A \in \mathcal{I} \text{ and } B \subset A \text{ then } B \in \mathcal{I} \\$ 

i.e., " $\mathcal{I}$  is closed under inclusion"

#### Example

1.  $E = \{e_1, e_2, e_3\}, \mathcal{I} = \{\{e_1, e_2\}, \{e_2, e_3\}, \{e_1\}, \{e_2\}, \{e_3\}, \{\}\}$ 

### Definition

A subset system  $S = (E, \mathcal{I})$  is a finite set E with a collection  $\mathcal{I}$  of subsets E such that:

```
if A \in \mathcal{I} and B \subset A then B \in \mathcal{I}
```

i.e., " $\mathcal{I}$  is closed under inclusion"

#### Example

- 1.  $E = \{e_1, e_2, e_3\}, \mathcal{I} = \{\{e_1, e_2\}, \{e_2, e_3\}, \{e_1\}, \{e_2\}, \{e_3\}, \{\}\}$
- 2. *E* is the edges of a graph and  $\mathcal{I}$  is the acyclic subsets of edges

#### Definition

A subset system  $S = (E, \mathcal{I})$  is a finite set E with a collection  $\mathcal{I}$  of subsets E such that:

```
if A \in \mathcal{I} and B \subset A then B \in \mathcal{I}
```

i.e., " $\mathcal{I}$  is closed under inclusion"

#### Example

- 1.  $E = \{e_1, e_2, e_3\}, \ \mathcal{I} = \{\{e_1, e_2\}, \{e_2, e_3\}, \{e_1\}, \{e_2\}, \{e_3\}, \{\}\}$
- 2. E is the edges of a graph and  $\mathcal{I}$  is the acyclic subsets of edges
- 3. *E* is the edges of a graph and  $\mathcal{I}$  are the matchings, i.e., subsets of edges such that no two edges share a vertex

Problem Given a subset system  $S = (E, \mathcal{I})$  and weight function  $w : E \to \mathbb{R}^+$ , find  $A \in \mathcal{I}$  such that  $w(A) = \sum_{e \in A} w(e)$  is maximized.

Problem Given a subset system  $S = (E, \mathcal{I})$  and weight function  $w : E \to \mathbb{R}^+$ , find  $A \in \mathcal{I}$  such that  $w(A) = \sum_{e \in A} w(e)$  is maximized.

## Algorithm (Greedy)

- 1.  $A = \emptyset$
- 2. Sort elements of E by non-increasing weight
- 3. For each  $e \in E$ : If  $A + e \in \mathcal{I}$  then  $A \leftarrow A + e$

Problem Given a subset system  $S = (E, \mathcal{I})$  and weight function  $w : E \to \mathbb{R}^+$ , find  $A \in \mathcal{I}$  such that  $w(A) = \sum_{e \in A} w(e)$  is maximized.

## Algorithm (Greedy)

- 1.  $A = \emptyset$
- 2. Sort elements of E by non-increasing weight
- 3. For each  $e \in E$ : If  $A + e \in \mathcal{I}$  then  $A \leftarrow A + e$

For what subset systems does this give optimal results?

Problem Given a subset system  $S = (E, \mathcal{I})$  and weight function  $w : E \to \mathbb{R}^+$ , find  $A \in \mathcal{I}$  such that  $w(A) = \sum_{e \in A} w(e)$  is maximized.

## Algorithm (Greedy)

1.  $A = \emptyset$ 

- 2. Sort elements of E by non-increasing weight
- 3. For each  $e \in E$ : If  $A + e \in \mathcal{I}$  then  $A \leftarrow A + e$

For what subset systems does this give optimal results?

Terminology: Solution  $A \in \mathcal{I}$  is a maximum if  $w(A) \ge w(A')$  for all other  $A' \in \mathcal{I}$ .

Problem Given a subset system  $S = (E, \mathcal{I})$  and weight function  $w : E \to \mathbb{R}^+$ , find  $A \in \mathcal{I}$  such that  $w(A) = \sum_{e \in A} w(e)$  is maximized.

## Algorithm (Greedy)

1.  $A = \emptyset$ 

- 2. Sort elements of E by non-increasing weight
- 3. For each  $e \in E$ : If  $A + e \in \mathcal{I}$  then  $A \leftarrow A + e$

For what subset systems does this give optimal results?

Terminology: Solution  $A \in \mathcal{I}$  is a maximum if  $w(A) \ge w(A')$  for all other  $A' \in \mathcal{I}$ . Solution  $A \in \mathcal{I}$  is maximal if there doesn't exist  $e \in E - A$  such that  $A + e \in \mathcal{I}$ .

#### Example

Let  $E = \{e_1, e_2, e_3\}$ ,  $\mathcal{I} = \{\{e_1, e_2\}, \{e_2, e_3\}, \{e_1\}, \{e_2\}, \{e_3\}, \{\}\}$ , and  $w(e_1) = 3, w(e_2) = 1$ , and  $w(e_3) = 4$ . The greedy algorithm returns

#### Example

Let  $E = \{e_1, e_2, e_3\}$ ,  $\mathcal{I} = \{\{e_1, e_2\}, \{e_2, e_3\}, \{e_1\}, \{e_2\}, \{e_3\}, \{\}\}$ , and  $w(e_1) = 3, w(e_2) = 1$ , and  $w(e_3) = 4$ . The greedy algorithm returns  $\{e_2, e_3\}$ 

and this is optimal.

#### Example

Let  $E = \{e_1, e_2, e_3\}$ ,  $\mathcal{I} = \{\{e_1, e_2\}, \{e_2, e_3\}, \{e_1\}, \{e_2\}, \{e_3\}, \{\}\}$ , and  $w(e_1) = 3, w(e_2) = 1$ , and  $w(e_3) = 4$ . The greedy algorithm returns

$$\{e_2, e_3\}$$

and this is optimal.

### Example (Maximum Weight Forest)

E is the edges of a graph and  ${\cal I}$  is the acyclic subsets of edges. This is essentially the same as the MST and greedy does work.

#### Example

Let  $E = \{e_1, e_2, e_3\}$ ,  $\mathcal{I} = \{\{e_1, e_2\}, \{e_2, e_3\}, \{e_1\}, \{e_2\}, \{e_3\}, \{\}\}$ , and  $w(e_1) = 3, w(e_2) = 1$ , and  $w(e_3) = 4$ . The greedy algorithm returns

$$\{e_2, e_3\}$$

and this is optimal.

### Example (Maximum Weight Forest)

E is the edges of a graph and  ${\cal I}$  is the acyclic subsets of edges. This is essentially the same as the MST and greedy does work.

### Example (Maximum Weight Matching)

*E* is the edges of a graph and  $\mathcal{I}$  are the matchings. Greedy does not work.

# Matroid Definition and Theorem

Definition Subset system  $(E, \mathcal{I})$  has the exchange property if

 $orall A, B \in \mathcal{I} : (|A| < |B|) \implies (\exists e \in B - A \text{ such that } A + e \in \mathcal{I})$ 

# Matroid Definition and Theorem

Definition Subset system  $(E, \mathcal{I})$  has the exchange property if

$$\forall A,B\in\mathcal{I}:\left(|A|<|B|\right)\implies\left(\exists e\in B-A \text{ such that }A+e\in\mathcal{I}\right)$$

#### Definition

A matroid is a subset system  $M = (E, \mathcal{I})$  with the exchange property

# Matroid Definition and Theorem

Definition Subset system  $(E, \mathcal{I})$  has the exchange property if

 $\forall A,B \in \mathcal{I}: (|A| < |B|) \implies (\exists e \in B - A \text{ such that } A + e \in \mathcal{I})$ 

### Definition

A matroid is a subset system  $M = (E, \mathcal{I})$  with the exchange property

#### Theorem

Given a subset system  $(E, \mathcal{I})$ , the following statements are equivalent:

- 1. Greedy algorithm returns optimal solution for any weight function.
- 2. The subset system obeys the exchange property, i.e., it's a matroid.

▶ Proof by contradiction: Assume  $(E, \mathcal{I})$  is a matroid and let

greedy solution:  $A = \{e_1, e_2, \dots, e_k\}$ optimal solution:  $B = \{f_1, f_2, \dots, f_{k'}\}$  where w(B) > w(A)

▶ Proof by contradiction: Assume  $(E, \mathcal{I})$  is a matroid and let

greedy solution:  $A = \{e_1, e_2, \dots, e_k\}$ optimal solution:  $B = \{f_1, f_2, \dots, f_{k'}\}$  where w(B) > w(A) $\blacktriangleright$  Can deduce k = k'

▶ Proof by contradiction: Assume  $(E, \mathcal{I})$  is a matroid and let

greedy solution:  $A = \{e_1, e_2, \dots, e_k\}$ optimal solution:  $B = \{f_1, f_2, \dots, f_{k'}\}$  where w(B) > w(A)

Can deduce k = k' by the exchange property. (Both solutions are maximal and if k ≠ k' then the exchange property would imply an element from the larger set could be added to the smaller set).

▶ Proof by contradiction: Assume  $(E, \mathcal{I})$  is a matroid and let

greedy solution:  $A = \{e_1, e_2, \dots, e_k\}$ optimal solution:  $B = \{f_1, f_2, \dots, f_{k'}\}$  where w(B) > w(A)

- Can deduce k = k' by the exchange property. (Both solutions are maximal and if k ≠ k' then the exchange property would imply an element from the larger set could be added to the smaller set).
- Can assume by reordering

$$w(e_1) \ge w(e_2) \ge \ldots \ge w(e_k)$$
  
 $w(f_1) \ge w(f_2) \ge \ldots \ge w(f_k)$ 

▶ Proof by contradiction: Assume  $(E, \mathcal{I})$  is a matroid and let

greedy solution:  $A = \{e_1, e_2, \dots, e_k\}$ optimal solution:  $B = \{f_1, f_2, \dots, f_{k'}\}$  where w(B) > w(A)

- Can deduce k = k' by the exchange property. (Both solutions are maximal and if k ≠ k' then the exchange property would imply an element from the larger set could be added to the smaller set).
- Can assume by reordering

$$w(e_1) \ge w(e_2) \ge \ldots \ge w(e_k)$$
  
 $w(f_1) \ge w(f_2) \ge \ldots \ge w(f_k)$ 

• Consider smallest such s with  $w(f_s) > w(e_s)$  and let

$$\alpha = \{ \mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_{\mathbf{s}-1} \} \quad \text{and} \quad \beta = \{ f_1, f_2, \dots, f_{\mathbf{s}} \}$$

▶ Proof by contradiction: Assume  $(E, \mathcal{I})$  is a matroid and let

greedy solution:  $A = \{e_1, e_2, \dots, e_k\}$ optimal solution:  $B = \{f_1, f_2, \dots, f_{k'}\}$  where w(B) > w(A)

- Can deduce k = k' by the exchange property. (Both solutions are maximal and if k ≠ k' then the exchange property would imply an element from the larger set could be added to the smaller set).
- Can assume by reordering

$$w(e_1) \ge w(e_2) \ge \ldots \ge w(e_k)$$
  
 $w(f_1) \ge w(f_2) \ge \ldots \ge w(f_k)$ 

• Consider smallest such s with  $w(f_s) > w(e_s)$  and let

$$\alpha = \{e_1, e_2, \dots, e_{s-1}\} \text{ and } \beta = \{f_1, f_2, \dots, f_s\}$$

• By the exchange property there exists  $t \in [s]$  such that:

$$f_t \in \beta - \alpha$$
 with  $\alpha + f_t \in \mathcal{I}$ 

▶ Proof by contradiction: Assume  $(E, \mathcal{I})$  is a matroid and let

greedy solution:  $A = \{e_1, e_2, \dots, e_k\}$ optimal solution:  $B = \{f_1, f_2, \dots, f_{k'}\}$  where w(B) > w(A)

- Can deduce k = k' by the exchange property. (Both solutions are maximal and if k ≠ k' then the exchange property would imply an element from the larger set could be added to the smaller set).
- Can assume by reordering

$$w(e_1) \ge w(e_2) \ge \ldots \ge w(e_k)$$
  
 $w(f_1) \ge w(f_2) \ge \ldots \ge w(f_k)$ 

• Consider smallest such s with  $w(f_s) > w(e_s)$  and let

$$\alpha = \{e_1, e_2, \dots, e_{s-1}\} \text{ and } \beta = \{f_1, f_2, \dots, f_s\}$$

▶ By the exchange property there exists  $t \in [s]$  such that:

$$f_t \in \beta - \alpha$$
 with  $\alpha + f_t \in \mathcal{I}$ 

▶ But then w(f<sub>t</sub>) ≥ w(f<sub>s</sub>) and hence w(f<sub>t</sub>) > w(e<sub>s</sub>). This is a contradiction since greedy algorithm picked e<sub>s</sub> rather than f<sub>t</sub>

Sufficient to show that greedy may not work if  $(E, \mathcal{I})$  isn't a matroid

Sufficient to show that greedy may not work if  $(E, \mathcal{I})$  isn't a matroid

•  $(E, \mathcal{I})$  not a matroid implies that

 $\exists \ A,B \in \mathcal{I} \text{ such that } |A| < |B| \text{ and } \not\exists \ e \in B - A \text{ with } A + e \in \mathcal{I}$ 

Sufficient to show that greedy may not work if (E, I) isn't a matroid
(E, I) not a matroid implies that

 $\exists \ A,B \in \mathcal{I} \text{ such that } |A| < |B| \text{ and } \not\exists \ e \in B - A \text{ with } A + e \in \mathcal{I}$ 

• Let m = |A| and n = |E|. Define weight function:

$$w(e) = egin{cases} m+2 & ext{if } e \in A \ m+1 & ext{if } e \in B-A \ 1/(2n) & ext{otherwise} \end{cases}$$

Sufficient to show that greedy may not work if (E, I) isn't a matroid
(E, I) not a matroid implies that

 $\exists \ A,B \in \mathcal{I} \text{ such that } |A| < |B| \text{ and } \not\exists \ e \in B - A \text{ with } A + e \in \mathcal{I}$ 

• Let m = |A| and n = |E|. Define weight function:

$$w(e) = egin{cases} m+2 & ext{if } e \in A \ m+1 & ext{if } e \in B-A \ 1/(2n) & ext{otherwise} \end{cases}$$

► Greedy algorithm returns A with weight at most (m+2)m+1/2 but a better solution is B with weight at least (m+1)<sup>2</sup>

# Blank Slide