# CMPSCI 611: Advanced Algorithms 

Lecture 21: Reductions and Finishing Approximation Algorithms

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## Outline

Finishing Approximation Algorithms

Polynomial Time Reductions

## PTAS for Knapsack Problem

## General Knapsack Problem:

1. Input: A set of items numbered $1,2, \ldots, n$, where each the $i$-th item has weight $w_{i}$ and value $v_{i} . C$ is the capacity of your knapsack. (Assume each $w_{i} \leq C$.)

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Last time we showed:

1. Dynamic programming algorithm taking $O\left(n^{2} V\right)$ time where $V=\max _{i} v_{i}$.
2. Define $v_{i}^{\prime}$ by setting $k$ lowest order bits of $v_{i}$ to zero. Use the dynamic program to find the best set $B^{\prime}$ with respect to the values $v_{i}^{\prime}$. Then,

$$
\frac{\sum_{i \in B} v_{i}}{\sum_{i \in B^{\prime}} v_{i}} \leq 1+\frac{n 2^{k}}{V-n 2^{k}}
$$

where $B$ be the optimal set.

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Claim
If $k \leq \log (\epsilon V /(2 n))$ and $\epsilon \leq 1$ then $1+\frac{2^{k} n}{V-2^{k} n} \leq 1+\epsilon$.

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3. The maximum value for $v^{\prime \prime}$ satisfies:

$$
\max v_{i}^{\prime \prime} \leq V / 2^{k} \leq 2 V /(\epsilon V /(2 n))=4 n / \epsilon
$$

so the run time is $O\left(n^{3} / \epsilon\right)$

## Summary of Approximation Algorithms

- Algorithms:
- 2-approximation for vertex cover
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- FPTAS for knapsack
- For a reference of what approximation factors are known check out: http://www.csc.kth.se/~viggo/wwwcompendium/


## Alternative Approaches to "hard" problems

- Restrict the input:
- Assuming input graph is acyclic, has bounded degree, is planar
- Solving metric TSP where the points are in Euclidean space
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## Definition

An algorithm runs in pseudo-polynomial time if the running time is polynomial in the input size and any integer in the input.

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Polynomial Time Reductions

## Problem 1: Clique

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A clique of size $k$ in a graph $G$ is a completely connected subgraph of $G$ with $k$ vertices.

- Input: Given graph $G=(V, E)$ and integer $k$.
- Question: Does $G$ contain a clique of size $k$ ?


## Problem 2: 3-SAT

- Input: A boolean formula $\phi\left(x_{1}, \ldots, x_{n}\right)$ in conjunctive normal form with $m$ clauses and 3 literals per clause, e.g.,

$$
\left(x_{1} \vee \overline{x_{2}} \vee x_{3}\right) \wedge\left(\overline{x_{1}} \vee x_{2} \vee \bar{x}_{3}\right)
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where $\bar{x}_{i}$ is "not $x_{i}^{\prime}$ ", $\wedge$ is "and", $\vee$ is "or." We call $x_{i}$ and $\bar{x}_{i}$ literals.

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- Question: Is there a setting of each $x_{i}$ to TRUE or FALSE such that the formula is satisfied.


## A Polynomial Time Reduction for 3-SAT to Clique

We'll show that if you have a polynomial time algorithm for Clique, then you also have a polynomial time algorithm for 3-SAT.

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$$

in poly-time, we can construct $G_{\phi}=\left(V_{\phi}, E_{\phi}\right)$ :

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\begin{gathered}
V_{\phi}=\left\{I_{i, j}: i \in[m], j \in[3]\right\} \\
E_{\phi}=\left\{\left(I_{i, j}, l_{k, l}\right): i, k \in[m], j \in[3], i \neq k, I_{i, j} \neq \bar{I}_{k, l}\right\}
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We'll show $\phi$ is satisfiable iff $G_{\phi}$ has a clique of size $m$
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2. For each clause:

- Exactly one node I from $i$-th clause is in $Y$
- Set $x_{k}=$ TRUE if $I=x_{k}$ and set $x_{k}=$ FALSE if $I=\bar{x}_{k}$

3. We can't set $x_{k}$ to be true and false because literals $x_{k}$ and $\bar{x}_{k}$ can't both be in $Y$
