### CMPSCI 611: Advanced Algorithms Lecture 21: Reductions and Finishing Approximation Algorithms

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Last Compiled: February 1, 2024

### Outline

#### Finishing Approximation Algorithms

Polynomial Time Reductions

General Knapsack Problem:

Input: A set of items numbered 1, 2, ..., n, where each the *i*-th item has weight w<sub>i</sub> and value v<sub>i</sub>. C is the capacity of your knapsack. (Assume each w<sub>i</sub> ≤ C.)

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Last time we showed:

- 1. Dynamic programming algorithm taking  $O(n^2V)$  time where  $V = \max_i v_i$ .
- Define v'<sub>i</sub> by setting k lowest order bits of v<sub>i</sub> to zero. Use the dynamic program to find the best set B' with respect to the values v'<sub>i</sub>. Then,

$$\frac{\sum_{i\in B} v_i}{\sum_{i\in B'} v_i} \le 1 + \frac{n2^k}{V - n2^k}$$

where B be the optimal set.

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- 2. Solve for v' by solving for another set of values v'' where

$$v_i^{\prime\prime} = v_i^{\prime}/2^k$$

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3. The maximum value for v'' satisfies:

$$\max v_i'' \le V/2^k \le 2V/(\epsilon V/(2n)) = 4n/\epsilon$$

so the run time is  $O(n^3/\epsilon)$ 

# Summary of Approximation Algorithms

#### Algorithms:

- 2-approximation for vertex cover
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- FPTAS for knapsack

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▶ For a reference of what approximation factors are known check out:

http://www.csc.kth.se/~viggo/wwwcompendium/

### Alternative Approaches to "hard" problems

#### Restrict the input:

- Assuming input graph is acyclic, has bounded degree, is planar
- Solving metric TSP where the points are in Euclidean space
- Assume a probability distribution over input: Average case analysis
- Assume all integers in the input are polynomial in the input size...

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### Definition

An algorithm runs in *pseudo-polynomial time* if the running time is polynomial in the input size and any integer in the input.

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A clique of size k in a graph G is a completely connected subgraph of G with k vertices.

- Input: Given graph G = (V, E) and integer k.
- Question: Does G contain a clique of size k?

▶ Input: A boolean formula  $\phi(x_1, ..., x_n)$  in conjunctive normal form with *m* clauses and 3 literals per clause, e.g.,

 $(x_1 \lor \bar{x_2} \lor x_3) \land (\bar{x_1} \lor x_2 \lor \bar{x_3})$ 

where  $\bar{x}_i$  is "not  $x_i$ ",  $\wedge$  is "and",  $\vee$  is "or." We call  $x_i$  and  $\bar{x}_i$  *literals*.

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Question: Is there a setting of each x<sub>i</sub> to TRUE or FALSE such that the formula is satisfied.

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Given formula 3-SAT

 $\phi = (I_{1,1} \lor I_{1,2} \lor I_{1,3}) \land (I_{2,1} \lor I_{2,2} \lor I_{2,3}) \land \ldots \land (I_{m,1} \lor I_{m,2} \lor I_{m,3})$ 

in poly-time, we can construct  $G_{\phi} = (V_{\phi}, E_{\phi})$ :

 $V_{\phi} = \{l_{i,j} : i \in [m], j \in [3]\}$  $E_{\phi} = \{(l_{i,j}, l_{k,l}) : i, k \in [m], j \in [3], i \neq k, l_{i,j} \neq \bar{l}_{k,l}\}$ 

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We'll show  $\phi$  is satisfiable iff  $G_{\phi}$  has a clique of size m

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- 3.  $G_{\phi}[Y]$  is a clique because  $x_k$  and  $\bar{x}_k$  can't both be in Y for any k

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- 2. For each clause:
  - Exactly one node I from i-th clause is in Y
  - Set  $x_k$  = TRUE if  $I = x_k$  and set  $x_k$  = FALSE if  $I = \bar{x}_k$
- 3. We can't set  $x_k$  to be true and false because literals  $x_k$  and  $\bar{x}_k$  can't both be in Y